## Advanced algorithms

Exercise sheet #2 - NP-completeness, branch-and-bound, exponential-time algorithms

September 28, 2022

**Exercice 1** (NP-completeness of HITTING SET). The problem HITTING SET is the following:

**Input:** A finite collection C of finite sets of integers; an integer  $k \ge 0$ . **Question:** Is there a set  $S \subset \mathbb{N}$  such that  $\#S \le k$  and  $A \cap S \ne \emptyset$  for any  $A \in C$ ?

Using the NP-complete problem VERTEX COVER, show that HITTING SET is NP-complete.

**Exercice 2** (NP-completeness of FEEDBACK ARC SET). Let G = (V, E) be a directed graph. A *feedback arc set* is a subset of E such that the graph  $(V, E \setminus C)$  is acyclic.

The problem FEEDBACK ARC SET is the following:

**Input:** A directed graph G; an integer  $k \ge 0$ . **Question:** Does G admit a feedback arc set of cardinality  $\le k$ ?

(a) Show that FEEDBACK ARC SET is in NP.

Given an undirected graph G = (V, E), we define a directed graph G' = (V', E') as follows:  $V' = V \times \{0, 1\}$  and  $E' = \{(x^0, y^1) \text{ s.t. } \{x, y\} \in E\} \cup \{(x^1, x^0) \text{ s.t. } x \in V\}$ , where, for short, we denote  $x^i$  the pair  $(x, i) \in V'$ .

- (b) Show that G' has a minimum-cardinality feedback arc set with edges only of type  $(x^1, x^0)$ .
- (c) Show that FEEDBACK ARC SET is NP-complete. Hint: use VERTEX COVER.

**Exercice 3** (Dynamic programming for TSP). Let G = (V, E) be a complete graph with a weight function  $w : E \to \mathbb{R} \cup \{+\infty\}$ . The *travelling salesman problem (TSP)* is the problem of computing a minimum-weight cycle in G that goes through all vertices exactly once. The naive solution to solve this problem is the enumeration of all n! cycles (where n = #V).

The goal of this exercise is to do better and to provide an exponential time algorithm.

(a) Let  $S \subset V$  containing at least two vertices, and let  $s \in S$ . For any  $t \in S$ , we denote by W(S,t) the total weight of a shortest path from s to t, visiting exactly once the elements of S and no other vertex.

Give a recursive expression of W(S, t).

(b) Deduce an algorithm to solve TSP in time  $O(n^2 2^n)$  using the principle of dynamic programming.

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**Exercice 4** (WalkSAT). We study a randomized local search procedure to solve 3-SAT problem. It takes as input a 3-SAT instance P and an assignment a of the variables that does not satisfy P:

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procedure UPDATE(P, a)
Choose a clause c of P that is not satisfied by a
Choose randomly one the variables appearing in c
Flip the assignment of v in a
end procedure
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For two affectations a and b of the variables of P, let d(a, b) denote the Hamming distance of a and b: this is the number of variables that are not assigned to the same value in a and b. We consider now a 3-SAT instance P that has a solution s.

(a) Let a be an assignment of the variables of P that does not satisfy P, and let a' = UPDATE(P, a). Show that  $d(a', s) = d(a, s) \pm 1$  and that

$$\mathbb{P}\left[d(a',s) = d(a,s) - 1\right] \ge \frac{1}{3}.$$

We now consider the following algorithm that applie the procedure UPDATE until finding a solution, or stops with the symbol  $\emptyset$  if it has not found anything after N iterations.

Intput: a 3-SAT instance P, an assignment a and an integer NOutput: a solution of P or  $\emptyset$ procedure WALKSAT(P, a, N)for k from 1 to N do if a satisfies P then return aend if  $a \leftarrow UPDATE(P, a)$ . end for return  $\emptyset$ end procedure

Let h(a, N) be the probability that WALKSAT(P, a, N) returns a solution of P.

(b) Let a be an assignment of the variables and let  $\delta = d(a, s)$ . Show that

$$h(a, 3\delta) \ge {3\delta \choose \delta} \left(\frac{1}{3}\right)^{2\delta} \left(\frac{2}{3}\right)^{\delta}$$

For this, one can compare  $h(a, 3\delta)$  with the probability to obtain  $\delta$  tails and  $2\delta$  faces out of  $3\delta$  coin flips with a biased coin.

(c) We admit the lower bound  $\binom{3\delta}{\delta} \ge (3\delta+1)^{-1} \left(\frac{27}{4}\right)^{\delta}$ . If *a* is random and uniformly distributed among all possible assignments of the *n* variables of *P*, show that

$$\mathbb{P}\left[\text{WALKSAT}(P, a, 3n) \text{ returns a solution of } P\right] \ge (3n+1)^{-1} \left(\frac{3}{4}\right)^n.$$

(d) Deduce a probabilistic algorithm that returns with probability  $\geq \frac{1}{2}$  a solution of satisfiable 3-SAT instance with *n* variables with  $poly(n) \left(\frac{4}{3}\right)^n$  operations.

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